

Berkeley

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS
FAIR POLITICAL PRACTICES COMMISSION
COVER PAGE

Date Initial Filing
Received
Official Use Only
CITY OF BERKELEY
CITY CLERK DEPT

1046342

2015 APR 13 PM 2:40



2015 MAR 20 PM 4:04

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Bates, Tom

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City of Berkeley

Division, Board, Department, District, if applicable

Your Position

Mayor and Council

Mayor

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: *SEE ATTACHED FOR ADDITIONAL POSITIONS

Position: _____

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County _____

☒ County of Alameda

☒ City of Berkeley

☐ Other _____

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2014, through December 31, 2014

☐ Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is ____/____/____, through December 31, 2014

☐ The period covered is January 1, 2014, through the date of leaving office.

☐ Assuming Office: Date assumed ____/____/____

☐ The period covered is ____/____/____, through the date of leaving office.

☐ Candidate: Election Year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 5

☐ Schedule A-1 - Investments - schedule attached

☒ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5

I have read all schedules attached in preparing this statement. The herein and in any attached schedules is true and complete. I acknowledge and certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 20, 2015
(month, day, year)

13JUN2014 11:11 AM
1930 0781 0710

**STATEMENT OF ECONOMIC INTERESTS
COVER PAGE**

JO:4 H9 OS 8AM210S **Expanded Statement Attachment**

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name Tom Bates
--

Agency	Division/Board/Dept/District	Position	Type of Statement
City of Berkeley	Mayor and Council Department	Mayor	Annual 1/1/2014 - 12/31/2014
Successor Agency to the Redevelopment Agency	Berkeley	President	Annual 1/1/2014 - 12/31/2014
Joint Powers Finance Authority	Berkeley	Director	Annual 1/1/2014 - 12/31/2014
Bay Conservation and Development Commission	Commission	Commissioner	Annual 1/1/2014 - 12/31/2014
Metropolitan Transportation	Commission	Commissioner	Annual 1/1/2014 - 12/31/2014
Bay Area Air Quality Management District	District	Director	Annual 1/1/2014 - 12/31/2014
City of Berkeley	Mayor and Council	Mayor	Annual 1/1/2014 - 12/31/2014

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name <u>Bates, Tom</u>

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

Ilona H Hancock

ADDRESS (Business Address Acceptable)
2225 Ward St.

Berkeley, CA 94705

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Legislator

YOUR BUSINESS POSITION

Spouse

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☒ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☒ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE

_____ % ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None ☐ Personal residence

☐ Real Property _____
Street address

_____ City

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

SCHEDULE D Income – Gifts

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name _____ Bates, Tom

► NAME OF SOURCE (Not an Acronym)

Oakland-Alameda County Coliseum Authority
 ADDRESS (Business Address Acceptable)
 7000 Coliseum Way
 Oakland, CA 94621

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
01 / 10 / 14	\$ 250.00	Basketball Ticket
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE (Not an Acronym)

University of California
 ADDRESS (Business Address Acceptable)
 200 California Hall MC #1500
 Berkeley, CA 94720

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
07 / 26 / 14	\$ 175.00	Soccer Tickets
10 / 18 / 14	\$ 100.00	Football Ticket
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE (Not an Acronym)

Cisco Devries
 ADDRESS (Business Address Acceptable)
 4413 Montgomery Street
 Oakland, CA 94611

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10 / 24 / 14	\$ 150.00	Football Ticket
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE (Not an Acronym)

ICEX Spain Trade and Investment
 ADDRESS (Business Address Acceptable)
 Paseo de la Castellana 14-2 Fl
 Madrid, ES 28046

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
09 / 22 / 14	\$ 409.00	Cultural Activities (9/16-9/22)
09 / 21 / 14	\$ 409.00	Transportation (9/16-9/22)
09 / 20 / 14	\$ 409.00	Meals (9/16-9/22)

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

Comments: _____

Additional Schedule D Gifts from ICEX Spain Trade and Investment

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
09/19/2014	\$409.00	same (9/16-9/22)
09/18/2014	\$409.00	same (9/16-9/22)
09/17/2014	\$409.00	same (9/16-9/22)
09/16/2014	\$409.00	same (9/16-9/22)